



IAP Sec'd PCT/PTO 21 NOV 2005

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/528,139  
Applicant : Jochen KNOLLE et al  
Filed : September 18, 2003  
TC/A.U. :  
Examiner :

Docket No. : 2918-108  
Customer No. : 6449  
Confirmation No. : 2799

Director of the United States Patent  
and Trademark Office  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

RESPONSE TO NOTIFICATION TO COMPLY AND  
STATEMENT UNDER 37 CFR 1.821(f)

In response to the Notification to Comply dated September 19, 2005 (a response copy is attached), an initial paper copy of Sequence Listing is submitted and its entry into the application is respectfully requested. An initial computer-readable form of the Sequence Listing is also submitted, and it is hereby certified that the content of the Sequence Listing information recorded in the computer readable form is identical to the Sequence Listing written on paper. The paper and the computer readable copy contain no new matter.

Respectfully submitted,

By

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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|                             |                       |                  |
|-----------------------------|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/528,139                  | Jochen Knolle         | 2918-108         |

INTERNATIONAL APPLICATION NO.

PCT/EP03/10406

06449

ROTHWELL, FIGG, ERNST & MANBECK  
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RECEIVED/FACILITIES  
 ROTHWELL FIGG ERNST & MANBECK  
 FILE NO. 2918-108  
 FAC PROC. INIT.

I.A. FILING DATE

PRIORITY DATE

09/18/2003

09/18/2002

CONFIRMATION NO. 2799

371 FORMALITIES LETTER



\*OC000000017045087\*

SEP 23 2005

Date Mailed: 09/19/2005

### NOTIFICATION TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c) Applicant must provide an initial paper or compact disc copy of the "Sequence Listing", **as well as an amendment specifically directing its entry into the application** and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patent Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patent Software Program Help @ [ebc@uspto.gov](mailto:ebc@uspto.gov)

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

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PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/528,139                  | PCT/EP03/10406                | 2918-108         |

FORM PCT/DO/EO/922 (371 Formalities Notice)